

UM1953 User manual

STCOMET boot and FW upgrade

Introduction

The purpose of this document is to give to customers the information related to the boot and the upgrade mechanisms that can be used and implemented in the STCOMETxx and STCOMxx devices. The goal of this UM is to inform the reader about all the possible solution he can adopt to fit its application requirements.

This user manual is applicable to all the STCOMETxx and STCOMxx part numbers referred as the STCOMET.

This user manual does not contain information related to the STCOMETxx and STCOMxx devices except the ones required to better explain the boot and upgrade possibilities. Information on the STCOMETxx and STCOMxx devices can be found on the ST website.

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1 Document conventions

List of abbreviations

- AES advanced encryption system
- ECC elliptic curves cryptography
- ECIES elliptic curve integrated encryption scheme
- eFlash embedded Flash memory
- GCM Galois counter mode
- IAP in-application programming
- ISP in-system programming
- JTAG Joint Test Action Group
- MAC medium access control (also EUI48)
- MISC miscellaneous block registers
- OTP one-time programmable
- PE protocol engine
- POR power-on reset
- QFS quadruple frequency synthesizer
- RTE real-time engine
- SL security level
- SPI serial peripheral interface



2 The STCOMET bootloader

The STCOMET embeds a flexible bootloader which in short:

- Implements security policies according to security levels by enabling/disabling the insystem programming (ISP) through the JTAG and the in-application programming (IAP) through the eFlash write access
- It implements a secure in-application programming (IAP) mechanism for both the realtime engine (RTE) firmware and the protocol engine Cortex[®]-M4 (PE) firmware. The RTE firmware is always encrypted and authenticated by STMicroelectronics[®] using the AES GCM security algorithm. The PE firmware can be encrypted using the AES-GCM security algorithm. The PE firmware is always authenticated (see Section 7 on page 35)
- It allows the development of the full custom PE FW IAP, with a dedicated secure level
- It offers a low power boot mode
- It provides a secure way to write one-time programmable (OTP) data.

The STCOMET bootloader behavior depends on the values of the two dedicated BOOT0 and BOOT1 pins and on the current security level of the device after a device reset.

The possible reset causes are:

- Power-on reset (POR)
- Trigger by RESETn pin
- Watchdog reset
- ScSysStat field of SYSCTRL register assertion

The bootloader code cannot be updated by the customer. This document refers to bootloader version 2.2.



2.1 Image format description

The in-application programming (IAP) through the bootloader and the customer OTP write procedure makes the use of binary files called images. These image files contain the firmware to be upgraded preceded by one header that controls the programming process or the OTP data to be written. These images are stored in one external SPI Flash connected to the SPI0 port of the STCOMET (see 1. in Section 8: References on page 35 for peripheral details). Any external entity able to emulate the behavior of the SPI Flash can be used to perform IAP. In this document we refer to the SPI Flash as a real device or as entities able to emulate this kind of device.

If the SPI Flash is not detected, the bootloader ends with error. The behavior of the device after this error depends on the boot mode (see *Section 4 on page 18*).

If the SPI Flash is detected busy after the reset, the bootloader ends with error as in the above case. This can happen if the user code triggers a long operation on the SPI Flash (i.e.: sector erase) and it does not wait for the operation completion before performing a reset. In this case the user code must ensure the proper timing before triggering the reset.

Three image formats are defined: PE image, RTE image and OTP image. The next sections show the formats and the possible values for each image's field (values are in little endian).

The user must save images in the external SPI Flash with alignment to the page (256 bytes alignment). If the user saves more than one image, and the size of the images does not fit the 256 bytes boundary, padding bytes can be inserted. Padding bytes are ignored by the bootloader. The first PE or RTE image must be saved at the address 0x00000000 of the SPI Flash.



2.1.1 PE and RTE images format

The PE and RTE images format is similar and contains the firmware related to the PE or the RTE based on the initial 4-byte type value. The firmware can be split in different sections (up to 255 sections). Each section must be aligned to 128-bit boundary (16 bytes). To reach the alignment, padding bytes can be added and they will be ignored by the bootloader.

Sections are identified by the section headers. All the section headers must be placed before the entire firmware payload. The sequence of the section headers must respect the sequence of the section payloads.

	Name	Values/meaning	Offset (Bytes)	Size (Bytes)
	Image type	PE image = 0x00000001 RTE image= 0x00000003	0	4
	Encryption status of the whole firmware	The encryption algorithm used for firmware image encryption and/or authentication: AES GCM with 128-bit key = 0 AES GCM with 192-bit key = 1 AES GCM with 256-bit key = 2 No encryption = 3	4	4
Image header (clear text)	Image version	Image version. The version has to be always greater than zero.	8	4
	Firmware entry address	Firmware image address. Should be 0x00000000 for the PE image.	12	4
	Firmware size	The size of the firmware payload (in bytes)	16	4
	AES IV	The AES initialization vector	20	16
	Authentication tag	The authentication tag, generated during AES authentication.	36	16
	Number of sections	The number of sections (N) of the firmware image. The number of sections has to be greater than zero.	52	4
n-th section header	n-th section size	The size of the n-th section in firmware payload	56 + 12 * (n - 1)	4
(n from 1 to N)	n-th section destination address	The destination eFlash address in which the section payload	60 + 12 * (n - 1)	4
	n-th section unlocking flag	0 = locked 1 = unlocked	64 + 12 * (n - 1)	4
Firmware payload		Variable length firmware payload (one or more sections, each section is 16- byte aligned).	56 + 12 * N	Var. length

Table 1. PE and RTE images format



Figure 1 shows an example of one PE image (in yellow the section header). This image has a version number equals to 0x2F9, it contains only one section (not locked) of 267344 bytes. It is encrypted using AES-GCM 128 with a 128-bit key and initialization vectors of 0x04030201, 0x08070605, 0x09090909, and 0x22220000

00000	01	00	00	00	00	00	00	00	f9	02	00	00	00	00	00	00	ù 🔺
00010	50	14	04	00	04	03	02	01	08	07	06	05	09	09	09	09	P
00020	22	22	00	00	9d	a5	a6	2e	8b	66	e5	2c	09	4d	bd	cd	""¥¦fâ,.м½1 👘
00030	b7	1a	f9	52	01	00	00	00	50	14	04	00	00	00	00	00	•. ùR <mark>P</mark>
00040	01	00	00	00	8d	c 4	18	b6	9a	43	ff	d1	b1	f5	fc	b7	
00050	fa	79	2a	b6	d1	f4	02	72	d8	ad	d2	36	ed	d8	4f	2b	úy*¶Ñô.rØ-Ò61Ø0+
00060	b6	53	1b	93	02	0c	c 6	c 6	7f	a2	6e	f1	c 9	ab	59	14	¶SÆÆ.⊄nñÉ«Y.
00070	7c	7e	0c	27	f6	66	7f	0c	89	1d	10	7b	43	f8	2f	93	[~.'öf{Cø/.
00080	f8	03	10	04	24	19	7d	d3	c 7	a2	01	db	d5	77	cd	70	Ø\$.}óç⊄.0Őwíp
00090	94	df	47	5f	e6	a5	40	63	db	b9	a7	dd	a2	0a	d8	64	.ßG_æ¥@cÛ'§ý⊄.ød
000a0	d6	1 e	cd	44	31	d2	61	b4	c 1	9f	08	42	51	8e	91	1a	Ö.ÍD1Òa´ÁBQ.'.
000b0	3d	e0	d6	9e	28	de	2c	1f	ee	4f	f4	e4	0a	2a	3a	58	=àÖ.(Þ,.îOôä.*:X
000c0	4e	ab	62	64	8f	8e	a6	70	ec	fb	ce	8e	d3	99	51	da	N«bd¦pìûî.ó.QÚ
000d0	6b	7f	0a	be	98	1 a	6f	58	12	f1	de	cc	f6	eb	d2	f6	k%ox.ñplöëÒö
000e0	33	01	03	65	3e	0a	2c	c 6	74	25	ec	51	1a	e5	2c	df	3e>.,Æt%ìQ.å,ß
000f0	4c	ee	89	84	bb	16	7b	65	0e	ec	59	f5	3c	d3	1d	15	Lî».{e.ìYŐ<Ó
00100	6e	52	0a	8d	c2	6e	90	a7	c 3	35	0f	84	6e	58	6f	69	nRân.§Ã5nXoi
00110	bf	c5	6f	a8	a1	78	46	08	81	d7	b2	22	e5	0d	17	fe	¿Åo¨;xFx²"åþ
00120	ho	05										20				77	¥ ÜUƏ OL İ "•2 '

The RTE image is considered valid if the following conditions are met:

- Image type equals to 0x00000003
- Encryption status should be different from "no encryption"
- Image version greater than 0
- Firmware size smaller than 128 kB
- Number of section smaller or equal to 255
- Section sizes sum should be less or equal to the specified firmware size
- Section addresses should be in the address space of RTE
- Section addresses combined with section sizes should be in the address space of RTE
- Authentication tag on the payload and header initialization vector should be equal to the one specified in the image header.



The PE image is considered valid if the following conditions are met:

- Image type equals to 0x00000001
- Encryption status should be different from "no encryption" if the security level is greater than 1
- Image version greater than 0
- Firmware entry address equals to 0
- Firmware size smaller than the embedded Flash size minus 128 kB
- Number of section smaller or equal to 255
- Section sizes sum should be less or equal to the specified firmware size
- Section addresses should be in the address space of eFlash user sectors (except sector 13)
- Section addresses combined with section sizes should be in the address space of eFlash user sectors (except sector 13)
- Authentication tag on the payload and header initialization vector should be equal to the one specified in the image header.

The PE image encryption and authentication is applied on the entire payload.

2.1.2 OTP image format

The OTP image format shares the meaning of first 4 bytes with the PE and RTE images format. The bootloader uses this 4-byte type value to distinguish between the PE, RTE or OTP data image.

	Name	Values/meaning	Offset (Bytes)	Size (Bytes)
	Image type	OTP image = 0x00000005	0	4
Image header (clear text)	MAC flag	User MAC address not included in the image = 0x00 User MAC address included in the image = 0x01	4	1
	ECC input point	ECC point created during ECIES encryption	5	64
	A-MAC	Authentication code generated during ECIES encryption	69	32
	User key	AES key for PE firmware encryption: AES-128 key: 16-byte key data + 16 unused bytes AES-192 key: 24-byte key data + 8 unused bytes AES-256 key: 32-byte key data	101	32
Encrypted payload	Additional key	Additional customer key not relevant for boot	133	8
puyloud	User MAC address	Customer MAC if MAC flag = 0x01 Unused bytes if MAC flag = 0x00	141	6
	SL4 transition spare bytes	Trigger transition to SL4 = 0xBBAA Trigger transition to SL2/3 = other values	147	2

Table 2. OTP image format



The OTP image is considered valid if the following conditions are met:

- The Image type must be equal to 0x00000005
- The image must be located at the address 0x0000000 of the external SPI Flash
- The security level is equal to 1
- A-MAC evaluated from the ECC input point and payload should be equal to the one specified in the header.

If a valid OTP image is found and the boot mode is not the CTM OTP write, the bootloader does not perform any further scan of the SPI Flash.

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2.2 Boot traces

During bootloader execution some trace information can be read on the USART0 interface using the following settings:

- Baud rate 115200
- Data 8 bit
- Parity none
- Stop 1 bit
- Flow control none

The traces messages are explained in Table 3.

Table 3. Bootloader traces message										
Message	Code	Description								
STATUS: BOOT START V2.2	00 00 00 00	The version of the bootloader code								
	00 00 00 01	STCOMET is in security level 1 or 1*								
STATUS: BOOT LC	00 00 00 02	STCOMET is in security level 2 or 3								
	00 00 00 04	STCOMET is in security level 4								
STATUS: BOOT MODE	00 00 00 0X	BOOT pins values								
STATUS: RTE IMG STARTED	XX XX XX XX	The RTE image version								
CPU IMG	XX XX XX XX	The PE image version								
STATUS: BOOT NORMAL_END	00 00 00 00	Boot ends without errors								
	00 00 00 01	Error for shadow sector integrity								
	00 00 00 02	Error, no valid PE image found								
	00 00 00 04	Error, no valid RTE image found								
	00 00 00 08	Error, maximum number of attempts reach for OTP write								
	00 00 00 10	Error, OTP image authentication fail								
	00 00 00 20	Error, OTP image check fail								
STATUS: BOOT ERROR	00 00 00 40	Error, no valid OTP image found								
STATUS, BOOT ERROR	00 00 00 80	Error on eFlash access								
	00 00 01 00	Error, security level not OK								
	00 00 02 00	Error, wrong image size								
	00 00 04 00	Error on eFlash erase								
	00 00 08 00	Error, wrong image start address								
	00 00 10 00	Error on SPI Flash access								
	00 00 20 00	Error on the start of RTE image								

Table 3. Bootloader traces message

The boot error messages are treated as bitfield, so the error "00 00 00 06" is the sum of the two errors "00 00 00 02" (no valid PE image found) and "00 00 00 04" (no valid RTE image found).



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2.3 SPI Flash mandatory requirements

The bootloader makes the use of one external SPI Flash in order to store images. The user can select the memory that best fits its constraints without any constraints on the model, version and supplier. The device must fulfill the following requirements:

- SPI interface at 24 MHz with mode 0
- SPI command "CONTINUOS READ" = 0x3
- Bytes address (maximum supported size is 16 MB)



3 Security level description

The STCOMET device implements a set of security levels that are associated with different security policies. Each security level affects the way the user can upgrade the firmware (both PE and RTE) and the available functionalities. The security level transitions depend on the values of the BOOT0 and BOOT1 pins and on the OTP information the user writes in the device. In order to implement those security levels, the JTAG peripheral is disabled during power-on or reset and during bootloader execution.

3.1 Security level 1: unsecure

This is the list of features available when the device is in the security level 1 and booted in the normal boot mode:

- PE debug through the JTAG is available.
- The PE and RTE firmware download in the eFlash through the JTAG is available (ISP).
- The PE and RTE firmware update mechanism (IAP) from the external SPI Flash available (for PE authentication is always evaluated on the default key, encrypted and unencrypted images are supported).
- Download OTP data available.
- The user code can read and write the "main memory" sectors of the eFlash.
- The user code cannot access to the shadow sector (neither with JTAG nor with direct eFlash reading).

3.2 Security level 2: secure

This is the list of features available when the device is in the security level 2 and booted in the normal boot mode:

- PE debug through the JTAG is disabled.
- The PE and RTE firmware download in the eFlash through the JTAG is not available.
- The PE and RTE firmware update mechanism from the external SPI Flash available only through the bootloader. Only encrypted and authenticated PE firmware is loaded.
- Only an encrypted firmware image can be loaded (customer key provided through OTP write).
- The user code cannot write the "main memory" sectors of the eFlash (only the bootloader).
- The user code can read to the shadow sector.



3.3 Security level 3: secure and locked

This is the list of features available when the device is in the security level 3 and booted in the normal boot mode:

- PE debug through the JTAG is disabled.
- The PE and RTE firmware download in the eFlash through the JTAG is not available.
- The PE and RTE firmware update mechanism from the external SPI Flash available only through the bootloader. Only encrypted and authenticated PE firmware is loaded. Only unlocked sectors can be upgraded.
- Only an encrypted firmware image can be loaded (customer key provided through OTP write).
- The user code cannot write the "main memory" sectors of the eFlash (only the bootloader).
- The user code can read the shadow sector.

3.4 Security level 4: secure for customer loader

This is the list of features available when the device is in the security level 4 and booted in the normal boot mode:

- PE debug through the JTAG is disabled.
- The PE and RTE firmware download in the eFlash through the JTAG is not available.
- The PE and RTE firmware update mechanism available only through the user code (bootloader is bypassed).
- The user code can read and write the "main memory" sectors of the eFlash.
- The user code cannot access to the shadow sector (neither with JTAG nor with direct eFlash reading).

3.5 Security level 1*: secure erase and unlocking

This is the list of features available when the device is in the security level 1* and booted in the normal boot mode:

- PE debug through the JTAG is available.
- The PE firmware download in the eFlash through the JTAG is available.
- The PE and RTE firmware update mechanism (IAP) from the external SPI Flash available (for PE authentication is always evaluated on the default key, encrypted and unencrypted images are supported).
- The download OTP data is not available.
- The user code can read and write the "main memory" sectors of the eFlash.
- The user code cannot access to the shadow sector (neither with JTAG nor with direct eFlash reading).



3.6 Security level global summary

Table 4 summarizes the features available in each security level for the normal boot mode.

···· ·································										
	SL1	SL2	SL3	SL4	SL1*					
PE JTAG debug	Yes	No	No	No	Yes					
PE and RTE JTAG FW download (ISP)	Yes	No	No	No	Yes					
PE IAP programming by bootloader	Yes	Yes [see ⁽¹⁾]	Yes [see ⁽²⁾]	No	Yes [see ⁽³⁾]					
RTE IAP programming by bootloader	Yes	Yes	Yes	No	Yes					
Download OTP data	Yes	No	No	No	No					
Read "main memory" sectors	Yes	Yes	Yes	Yes	Yes					
Write "main memory" sectors	Yes	No	No	Yes	Yes					
Read shadow sector	No	Yes	Yes	No	No					

Table 4. Security level features summary

1. Only encrypted images.

2. Only encrypted images and only for not locked sectors.

3. Only not encrypted images.



4 Boot modes

4.1 Boot pins and boot modes execution

STCOMET bootloader behavior depends on the selected boot mode after the reset. Boot modes are selectable by two boot pins, the BOOT0 and BOOT1 (see section 1.13 of 1. in *Section 8: References on page 35*). The user code can check the value of configuration in the SOC_CFG register of the MISC block.

Boot mode	Boot mode value	BOOT1	BOOT0
Normal boot	0x2	1	0
Customer OTP write	0x0	0	0
Unlocking	0x1	0	1
Low power	0x3	1	1

Table 5. Boot modes and BOOT0/1 pin values

The possibility to execute the bootloader starting in one of the supported boot modes depends on the security level as described in *Table 6*. If an unsupported boot mode is selected in a specific security level, the bootloader ends with error and enters in an endless loop enabling the security feature according to *Table 4*.

Table 6. Boot modes execution	n based on security le	evel
-------------------------------	------------------------	------

	SL1	SL2/3	SL4	SL1*
Normal boot	Yes	Yes	Yes	Yes
Customer OTP write	Yes	No	No	No
Unlocking	Yes	Yes	Yes	Yes
Low power	Yes	Yes	Yes	Yes

	No image upgrade	PE and RTE upgrade	OTP image download			
Normal boot	3 sec [see ⁽¹⁾]	17 sec	n/a			
Customer OTP write	n/a	n/a	1 sec			
Unlocking	5 sec	n/a	n/a			
Low power	8 ms	n/a	n/a			

1. The time is 1 sec if no valid image is present at the address 0x0 of the SPI Flash.

The next sections detail the boot procedures in each security level.



4.2 Normal boot mode

The normal boot mode is the standard way to boot the device. The behavior of this mode changes based on the security level. As already introduced in *Section 2.1 on page 8*, the first PE or RTE image must be present at the address 0x0000000 of the external SPI Flash.

4.2.1 Normal boot mode in security level 1 and 1*

The steps performed by the bootloader during this mode are:

- 1. Verify if PE and RTE images were already loaded in the eFlash
- 2. Verify the presence of PE and RTE images in the external SPI Flash
- 3. If no valid PE or RTE images are found in both external and internal Flashes
 - a) Enable the debug/security features according to Table 4
 - b) Perform an endless loop
- 4. If valid images are found
 - a) Check the images versions
 - b) If new images are present in the external SPI Flash (higher version or the first download)

i.Copy the PE image payload from the SPI Flash to the eFlash destination sectors ii.Copy the RTE image from the SPI Flash to the eFlash target sector (sector 13)

- c) Decrypt and download RTE firmware in the RTE internal memory
- d) Enable the RTE and wait for RTE ready notification
- e) Copy the MAC address to the MISC general purpose registers
- f) Enable the debug/security features according to *Table 4*
- g) Jump to the application firmware start address (reset address 0x0).



4.2.2 Normal boot mode in security level 2 and 3

The steps performed by the bootloader during this mode are:

- 1. Verify if PE and RTE images were already loaded in the eFlash (PE image authenticated with customer key)
- 2. Verify the presence of PE and RTE images in the external SPI Flash
- 3. If no valid PE or RTE images are found in both external and internal Flashes (i.e.: PE image loaded in security level 1)
 - a) Enable the debug/security features feature according to Table 4
 - b) Perform an endless loop
- 4. If valid images are found
 - a) Check the images versions
 - b) If new images are present in the external SPI Flash (higher version number or first download, PE image correctly authenticated)

i.Copy the PE image payload from the SPI Flash to the eFlash destination sectors if not locked (the decryption and the authentication are done using the customer key written in the OTP area)

ii.Lock the eFlash sectors that are target destination for locked sections

- iii.Copy the RTE image from the SPI Flash to the eFlash target sector (sector 13)
- c) Decrypt and download RTE firmware in the RTE internal memory
- d) Enable the RTE and wait for RTE ready notification
- e) Copy the MAC address to the MISC general purpose registers
- f) Enable the debug/security features according to Table 4
- g) Jump to the application firmware start address (reset address 0x0).

4.2.3 Normal boot mode in security level 4

- 1. Verify if PE and RTE images were already loaded in the eFlash
- 2. If no valid firmware images are found in the internal eFlash
 - a) Enable the debug/security features feature according to Table 4
 - b) Perform an endless loop
- 3. If valid images are found
 - a) If the RTE image is present
 i.Decrypt and download RTE firmware in the RTE internal memory
 ii.Enable the RTE and wait for RTE ready notification
 - b) Copy the MAC address to the MISC general purpose registers
 - c) Enable the debug/security features according to Table 4
 - d) Jump to the application firmware start address (reset address 0x0).

4.3 Low power boot mode

The low power boot mode is used to start the user code with a slow PE frequency to target low power mode application (i.e.: running from battery). The PE frequency is directly 24 MHz from the external crystal source (see 1. of Section 8: References on page 35 for details on the STCOMET clock structure). This boot mode does not enable the RTE and does not perform IAP and upgrade from the external SPI Flash.

To target low power consumption the user must detect as soon as possible the boot in the low power mode by checking the SOC_CFG register of the MISC block. This implies that the eFlash should already contain a previously loaded application code. In case the eFlash does not contain a valid code, a fault is generated (the bootloader does not perform any check and always jumps to the application firmware start address).

The low power boot mode can run in every security levels. The enabled features in this boot mode depend on the STCOMET security level as specified in Section 3.6 on page 17.

The typical boot time during this low power mode is 8 ms (not including the application code startup that depends on the user choice) and the average current consumption is less than 20 mA during this period.

Figure 2 shows the typical current consumption (Y axe in mA) during the time (X axe in sec'ms) after the POR in the low power mode. The total time is around 30 ms and includes the 8 ms of the low power mode boot and the application startup when entering in the main() function to configure and shut-down the QFS.

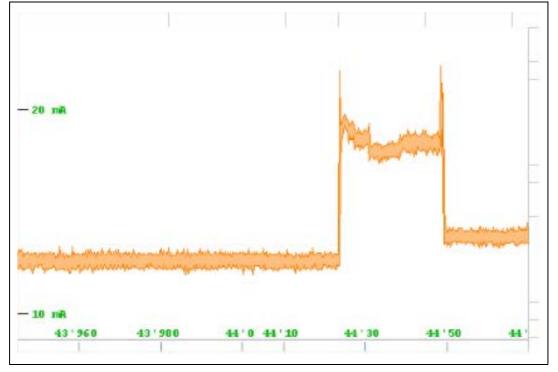


Figure 2. Typical current consumption during low power boot mode

- 1. Enable the debug features according to the current security level and block the access to the shadow sector of the eFlash
- 2. Jump to the application firmware start address (reset address 0x0).



4.4 CTM OTP write mode

Booting in the customer OTP write mode is required to allow writing of the customer OTP data and to change the security level of the device. OTP information details can be found in *Section 7 on page 35*.

The OTP information must be stored in the SPI Flash at the address 0x00000000 as an OTP image (see Section 2.1.2 on page 11). OTP images stored at a different location are ignored. OTP images must have an encrypted payload. The user encrypts the payload using a public key provided by ST as reported in Section 7. The bootloader decrypts this image using a secret private key, ensuring that thirty parties cannot decrypt the OTM image payload. In particular, the ECIES public scheme approach is selected with the use of ECC elliptic curve cryptography to share a secret.

Table 6 on page 18 shows the security levels that allow running the CTM OTP write mode.

In case the CTM OTP write mode is selected in a security level that does not support it, the bootloader ends with an error and enter in an endless loop.

The CTM OTP write mode can be selected only for a limited number of time (16) to attempt writing the OTP area. One attempt could fail due to failure in the decryption of the OTP image payload, due to the absence of the OTP image at the address 0x00000000 of the SPI Flash or because the OTP image is corrupted. If this boot mode is selected for more than 16 times, the bootloader ends with an error and enter in an endless loop.

- 1. Check the security level and the number of attempts
- 2. Check the presence and the correctness of the image in the external SPI Flash at the address 0x0 (if the SPI Flash is not present the check fails)
- 3. If the step 1. and 2. ends correctly:
 - a) Update the OTP area with the customer OTP data
 - b) Check the "SL4 transition spare bytes"
 i.If it is equal to 0xBBAA change the security level to 4
 ii.Otherwise change the security level to 2
- 4. If the step 1. and/or step 2. fails:
 - a) Increment the number of attempts and enter in an endless loop.



4.5 Unlocking mode

Booting in the unlocking mode is required to allow the debug of the device after the OTP writing.

During this boot mode, the customer code and OTP data are erased from the eFlash and the security level is changed to 1*. At the end of the boot, the debug features are enabled and the PE enters in an endless loop.

During this boot mode no IAP is performed.

After the boot in the unlocking mode, it is not possible to perform again the customer OTP writing and only "clear text" PE images or encrypted with the default key PE images can be loaded.

- 1. Delete all the "main memory" eFlash sectors
- 2. Delete the customer OTP section (a set of default values are written)
- 3. Enable the debug features according to Table 4 on page 17
- 4. Enter in an endless loop.



5 Image generator tool

ST also releases a tool to facilitate the generation of images that are compatible with the internal bootloader as specified in *Section 2.1 on page 8*. The name of this tool is "ImageGenerator" (ImageGenerator.exe) and it runs in the Microsoft[®] Windows environment. To get this tool, the user can contact his local ST support.

The image generator tool receives as inputs a configuration file (*.xml) and some sections binaries (at least one) and produces as an output one image.

The syntax of the tool is:

```
ImageGenerator -v = <verbosity level[0-3]> -o = <output file>.img, -c =
<configuration file>.xml
```

Where:

- "-*v*" specifies the output verbosity level (if omitted the default 0 is used)
- "-o" specifies the output name of the image file (if omitted the default "output.img" is used)
- "-c" specifies the input configuration file name (if omitted the default "config.xml" is used)

The input configuration file is a code using the XML language. *Table 8* shows the possible tags and the attributes for the xml configuration file.

Тад	Description
<header> </header>	Declare the output image header
<section> </section>	Declare the presence of one section in the image
<compose> </compose>	Allow the concatenation of multiple images in a single file
<0TP> 0TP	Declare the output image for the OTP
<aes_iv> </aes_iv>	Declare the initialization vector for the AES GCM authentication
<aes_key> </aes_key>	Define the key to encrypt the payload for the PE/RTE output image or the user key for the OTP image
<mac48> </mac48>	Define the user MAC address
<spare> </spare>	Define the value for the SL4 transition spare bytes
<pub_key> </pub_key>	The public key used to encrypt the OTP image payload
<add_key> </add_key>	Define the additional key



.

Element attribute	Description	Possible values	Tag
image_type	Specifies if the output image is a PE or RTE image.	cpu rte	<header></header>
version	The version to be assigned to the image.	Uint32 (>0)	<header></header>
entry	The start address	0x0000	<header></header>
fw_encryption_status	Specifies if the payload is encrypted and the key size used for AES GCM (authentication always apply).	cleartext aes_128 aes_192 aes-256	<header></header>
valuei, $0 \le i \le 3$	AES-GCM initial vector's i-th word	Uint32	<aes_iv></aes_iv>
valuei , $0 \leq i \leq 7$	AES-GCM key's i-th word	Uint32	<aes_key></aes_key>
address	The memory address when the section payload will be written.	Uint32 [address in eFlash ⁽¹⁾]	<section></section>
unlock	Specifies if the sector(s) will be locked after the section payload writing. Locking is applied to all the eFlash sectors used to load this section.	0: section locked 1: section not locked	<section></section>
filename	The name of the file that contains the binary code for the section.	text	<section></section>
image_cpu	The name of the file that contains the PE image.	text	<compose></compose>
version_cpu	The version of the PE image after composition	"no_change" or Uint32 (> 0)	<compose></compose>
image_rte	The name of the file that contains the RTE image.	text	<compose></compose>
version_rte	The version of the RTE image after composition	"no_change" or Uint32 (> 0)	<compose></compose>
mac48_status	If included with the correct value indicates the presence of a valid custom MAC address.	"custom"	<otp></otp>
valuei, 0 ≤ i ≤ 5	The value of the i-th byte of the custom MAC address	UInt8	<mac48></mac48>
valuei, 0 ≤ i ≤ 5	The value of the spare bytes	Value0 = "0xAA" Value1 = "0xBB" or any other UInt8	<spare></spare>
key_string	Public key ⁽²⁾	32-byte string	<pub_key></pub_key>

Table 9.	Element att	ributes for	[,] the image	generator	tool
----------	-------------	-------------	------------------------	-----------	------

1. Must be 64-bit aligned.

2. See Section 7 on page 35.

The image generator tool has the capability to encrypt and authenticate the image payload, handle the alignment to the page and section boundary in an automatic way for single and multiple images (compose). The compose functionality allows the user to create a single file that simply concatenate the two images (respecting the 256-byte alignment). This allows an efficient download into the external SPI Flash because a single file can be written.



5.1 PE (Cortex-M4) image generation

This is an example of the input *.xml file for the PE image generation:

```
<?xml version="1.0" encoding="UTF-8"?>
<header image_type="cpu" version="1024" entry="0x0000"
fw_encryption_status="aes_128">
        <aes_iv value0="0x00" value1="0x01" value2="0x02" value3="0x03">
        </aes_iv>
        <aes_key value0="0xFFFFFFF" value1="0xFFFFFFF" value2="0xFFFFFFF"
value3="0xFFFFFFF" value4="0xFFFFFFF" value5="0xFFFFFFF"
value6="0xFFFFFFF" value7="0xFFFFFFF" value5="0xFFFFFFF"
</aes_key>
</header>
</section address="0x00000000" unlock="1" filename="first_image.bin">
</section>
</section>
</section>
```

The generated output image will have the version 1024, it will be encrypted with the AES GCM and a 128-bit wide key and the default key is used (STCOMET in security level 1).

The image is composed by two sections, the first loaded at the address 0x00000000 of the eFlash and the second loaded at the address 0x00008000 of the eFlash. The first section contains the "first_image.bin" file and the related sectors of the eFlash will be unlocked. The second section contains the "second_image.bin" file and the related sectors of the eFlash will be locked (the user cannot upgrade this part using the bootloader because this example refers to the security level 1 and it can be used to test the locking feature).

5.2 RTE images

The RTE image generation is reserved for the internal ST use and the images are provided to users.

Since the header version is not considered for checking the validity of the image (the bootloader only checks that the value is greater than 0) the user can change this value to make experiments with multiple image types having the opportunity to always load the mostly stable one.



5.3 Composing images

This is an example of the input *.xml file for the composite image generation:

```
<?xml version="1.0" encoding="UTF-8"?>
<compose image_cpu="pe.img" version_cpu="577" image_rte="rte.img"</pre>
version_rte="no_change">
</compose>
The "pe.img" image file and the "rte.img" image file are concatenated by
respecting the 256 byte alignment for the image position in the external SPI
Flash (padding is added if needed).
The result output file is the binary concatenation of the two previous file.
In the final concatenated file, the Image generator also change the version
number of the PE image from its original value to 577. The RTE version does
not change.
5.40TP Image Generation
This is an example of the input xml file for the OTP image generation:
<?xml version="1.0" encoding="UTF-8"?>
<OTP mac48_status="custom">
  <aes key value0="0x5869D8D0" value1="0xFF93617C" value2="0xC2FFA58D"</pre>
value3="0xE8F1B66D" value4="0x8D481207" value5="0x5E52AFFD"
value6="0x5AC87F54" value7="0xEBA8D673">
  </aes key>
      <add key value0="0xE6" value1="0x1F" value2="0x16" value3="0x95"
value4="0x92" value5="0xC1" value6="0xA2" value7="0x99">
  </add key>
   <mac48 value0="0x0D" value1="0x61" value2="0x51" value3="0x54"</pre>
value4="0x7B" value5="0x9B">
  </mac48>
      <spare value0="0x11" value1="0x22">
      </spare>
      <pub key
key string="04f667750fe1c03930f841853347efc8089e863e879c76269d50ebba9ad18a
a74cd37145c339df84b3ecb4c6282f14b9c23a34880625e393ab0e539d637356ad75">
        </pub_key>
</OTP>
```

The generated OTP image will have two keys, one for decrypting the PE images during the normal boot modes, and one additional key available for the user. The user also specifies a different MAC address that will be used instead of the one preloaded by ST.

Since the SL4 transition spare bytes are not equal to 0xBBAA the final security level will be the security level 2. In order to have the transition to the security level 4 the spare tag must be:

```
<spare value0="0xAA" value1="0xBB">?
```



6 Firmware upgrade

The STCOMET supports both in-system (ISP) and in-application programming (IAP) modes in a flexible way. The STCOMET device is completely based on the eFlash technology so it is possible to upgrade the firmware of both RTE and PE.

6.1 Embedded Flash (eFlash) description

6.1.1 Embedded Flash physical structure

Table 10 shows the structure of the STCOMET eFlash.

Block	Name	Number	Base address	Size	Note		
Main memory	B0F0	0	0x0000000	16 KB			
	B0F1	1	0x00004000	16 KB			
	B0F2	2	0x00008000	32 KB			
	B0F3	3	0x00010000	32 KB			
	B0F4	4	0x00018000	16 KB			
	B0F5	5	0x0001C000	16 KB			
	B0F6	6	0x00020000	64 KB			
	B0F7	7	0x00030000	64 KB			
	B0F8	8	0x00040000	128 KB			
	B0F9	9	0x00060000	128 KB			
	B0FA	10	0x00080000	128 KB	Not available in 05 p/n		
	B0FB	11	0x000A0000	128 KB	Not available in 05 p/n		
	B0FC	12	0x000C0000	128 KB	Not available in 05 p/n		
	B0FD	13	0x000E0000	128 KB			
Shadow sector	B0SH	14	0x00100000	16 KB			

The sector 13 (B0FD) always contains the RTE image. The other sectors are accessible for the user.

The shadow sector can also be accessible by the user based on the security level (see *Table 4 on page 17*). OTP data are stored in this sector, so the user code can read this part of the Flash in order to get previously downloaded information (see *Section 7 on page 35*).



6.1.2 Sector lock management

It is possible to lock some sectors of the eFlash in order to avoid anyone to load another firmware section overwriting the original code. The "section unlocking flag" (see *Section 2.1.1 on page 9*) is provided in the section header of the PE image. Configuring this flag to the value of "0" indicates the bootloader to lock all the eFlash sectors that contain the binary sections after the eFlash writing.

For all future images corresponding to this section, it will not be possible to update those sectors with the IAP mode (it is possible to use the ISP but only in the security level 1/1* because the JTAG interface is available).

6.2 FW upgrade ISP and IAP

The device supports both in-system (ISP) and in-application programming (IAP) modes using the eFlash and external SPI Flash.

Figure 3 shows the relationship between the security level and the ISP/IAP modes.

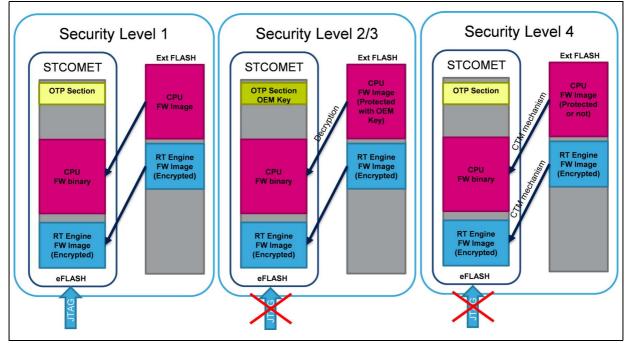


Figure 3. IAP/ISP modes and security levels



6.2.1 In-system reprogrammability

The ISP mechanism allows the user to erase and program the embedded Flash through the JTAG port.

This mechanism is available in the security level 1/1* and normal mode boot as detailed in *Section 3 on page 15* and *Section 4 on page 18*, otherwise it is disabled.

Thanks to the availability of the JTAG port, the user can download its application code directly into the eFlash as well as the full RTE image in the sector #13. If this way of downloading is selected, the bootloader can end with an error, typically the error "00 00 00 02". This indicates that the bootloader is not able to detect the PE image because, in fact, the user never downloads an image through the SPI Flash into the device but directly the code using the JTAG. The bootloader does not enter in an endless loop (see *Section 4.2.1 on page 19*) but jumps to the user code start address of the eFlash (0x0000000).

6.2.2 In-application reprogrammability

There are two main ways to perform IAP.

The first one makes the use of the STCOMET bootloader and the external SPI Flash. The second one makes the use of a user bootloader.

The first IAP mode allows storing in an external SPI Flash the code to be downloaded into the device on the next power-on with the normal boot mode. The IAP mode can be used for the RTE only, PE only or both RTE and PE. Firmware upgrade depends on the user needs. The external SPI Flash can also contain multiple versions for each code (RTE and PE), but at least one valid PE or RTE image must be present at the address 0x00000000 of the SPI Flash. The presence of multiple images is required for instance to support the rollback to old firmware versions in case the new image has problems. This can be achieved by changing the version numbers of the old image with a number greater that the new one. In any case the change of the firmware requires a complete reset of the device to start the bootloader.

The second IAP mode allows the user to write its own second level bootloader. It makes the use of the security level 4. After the STCOMET bootloader starts the RTE with the already stored RTE image, the bootloader leaves the control to the user code. The user code must contain a customer bootloader with the capability to upgrade the eFlash sectors.

6.3 Firmware download for production

The STCOMET can be embedded in a wide range of applications. The following sections provide all the relevant information to program the STCOMET firmware during final application production (manufacturing) using IAP.

This document does not provide information on how to design a tool for the firmware programming but the procedure to be followed and the actions to be taken in each step (for example the configuration of the STCOMET Boot and Reset pins).

The STCOMET firmware programming procedures depend on the target security level chosen by the user for the programmed device (see *Figure 4*). It always involves the OTP image and/or PE and RTE images programming. Since the first PE or RTE image and the OTP image must be loaded at the address 0x00000000 of the external SPI Flash, it is not possible to write them in a single step on the external Flash and use boot modes to target a final security level with the STCOMET programmed.

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The user can refer to *Table 7 on page 18* for the time required to complete each boot operation.

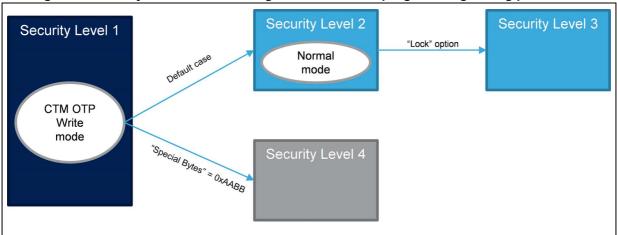


Figure 4. Security level transition diagram for firmware programming during production

6.3.1 **Programming steps to target security level 1**

This procedure is not recommended due to a lack of security for product field deployment. A user that wants to leave the programmed device in the security level 1 cannot write the OTP but only the RTE and PE images/firmware with the following implication (details can be found in *Section 3 on page 15*).

- The JTAG remains opened
- The bootloader cannot load protected PE images
- The OTP area is not accessible by the OEM FW or by JTAG

The steps to be followed are:

- 1. The PE and RTE images must be written on the SPI Flash (starting from address 0x0)
- 2. The boot pins must be configured in the normal boot mode
- 3. A reset must be triggered to load the PE and RTE images

6.3.2 **Programming steps to target security level 2 and 3**

The firmware download for this case involves three image files:

- Encrypted (with ST public key) OTP image file which stores user sensible data (EUI48, encryption key), and the "SL4 transition spare bytes" different from 0xAABB
- Encrypted RTE image file provided by ST (version number > 0)
- Encrypted PE image file with a user key (version number > 0). This image can contains "locked" sectors to activate the security level 3.



The steps to be followed are:

- 1. The OTP image must be written on the SPI Flash at the address 0
- 2. The boot pins must be configured in the CTM OTP write mode
- 3. A reset must be triggered in order to load the OTP data (transition to security level 2)
- 4. The PE and RTE images must be written on the SPI Flash (starting from address 0)
- 5. The boot pins must be configured in the normal boot mode
- 6. A reset must be triggered to load the PE and RTE images (transition to security level 3 if there are some locked sections).

6.3.3 Programming steps to target security level 4

The user that intends to target the security level 4 can select between two possible cases.

The first case uses three image files:

- Encrypted (with ST public key) OTP image file which stores OEM sensible data (EUI48) and the "SL4 transition spare bytes" equal to 0xAABB
- Encrypted RTE image file provided by ST (version number > 0)
- Cleartext PE image file (version number > 0)

The steps to be followed are:

- 1. The PE and RTE images must be written on the SPI Flash (starting from address 0)
- 2. The boot pins must be configured in the normal boot mode
- 3. A reset must be triggered to load the PE and RTE images
- 4. The OTP image must be written on the SPI Flash at the address 0
- 5. The boot pins must be configured in the CTM OTP write mode
- 6. A reset must be triggered in order to load the OTP data (transition to security level 4)

The second case uses four image files:

- Cleartext PE image file (version number >0) containing only the user bootloader (bootloader image)
- Encrypted (with ST public key) OTP image file which stores OEM sensible data (EUI48) and the "SL4 transition spare bytes" equal to 0xAABB
- Encrypted RTE image file provided by ST (version number > 0)
- Encrypted PE image that the user bootloader can load

The steps to be followed are:

- 1. The bootloader image and the RTE image must be written on the SPI Flash (starting from address 0)
- 2. The boot pins must be configured in the normal boot mode
- 3. A reset must be triggered to load the bootloader and RTE images
- 4. The OTP image must be written on the SPI Flash at the address 0
- 5. The boot pins must be configured in the CTM OTP write mode
- 6. A reset must be triggered in order to load the OTP data (transition to security level 4)
- 7. The PE image must be written on the SPI Flash (starting from address 0)
- 8. The boot pins must be configured in the normal boot mode
- 9. A reset must be triggered so the custom bootloader can load the PE image.



6.3.4 Programming steps using JTAG to target security level 4

Even if not recommended, it is possible to use the JTAG for firmware production. Even if the JTAG is selected, the user must use the OTP image and external SPI Flash (or an emulator) to target security levels 4.

The programming strategies that use the JTAG to download firmware inside the eFlash make the use of the Flashloader specific for each production tool. ST does not provide such a kind of the tool that must be developed by the user itself. This strategy uses:

- Encrypted (with ST public key) OTP image file which stores OEM sensible data (EUI48, encryption key) and the "SL4 transition spare bytes" equals to 0xAABB for targeting the security level 4
- RTE image file provided by ST (version number > 0)
- Cleartext PE code binary file

The steps to be followed are:

- 1. The RTE image and PE code binary file must be loaded into the eFlash trough the JTAG connection and user specific Flashloader tool
- 2. The OTP image must be written on the SPI Flash at the address 0
- 3. The boot pins must be configured in the CTM OTP write mode
- 4. A reset must be triggered in order to load the OTP data (transition to security level 4).

6.3.5 **Programming application example**

A typical example of programming environment for production is the tool shown in *Figure 5* that makes the use of two external SPI Flashes containing the OTP (at the address 0x00000000) image and PE/RTE images (starting from the address 0x00000000).

Information related to the hardware interconnection with the STCOMET can be found in 2. of Section 8 on page 35.



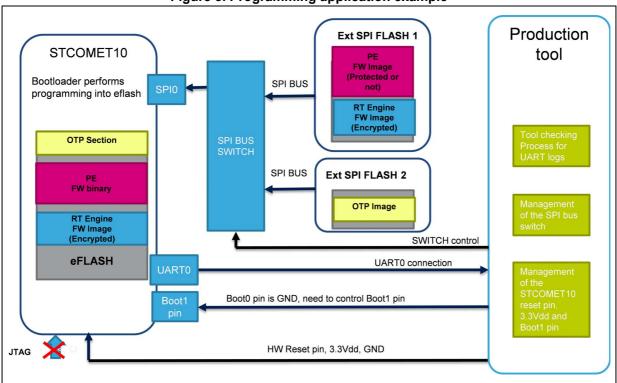


Figure 5. Programming application example

The typical programming tool also monitors the USART0 boot traces as described in *Section 2.2 on page 13*. If the word "ERR" is not found on the traces and the word "END" is caught, the boot procedure correctly ends.



7 OTP area information and OTP public key

7.1 OTP data access

After the CTM OTP write mode, the OTP data are stored in the shadow sector of the eFlash following the mapping in *Table 11*.

Data type	Values/meaning	Shadow sector addresses	Data Bytes size
OEM key	AES key for PE/RTE firmware encryption. AES-128 key: 16-byte key data + 16 unused bytes AES-256 key: 32-byte key data	0x00100028	32
Additional key	Additional customer key (not relevant for boot)	0x00100048	8
EUI-48 MAC ADDRESS	Customer MAC/Production MAC	0x00100050	6
SL4 transition spare bytes	SL4 transition spare bytes	0x00100058	2

Table 11. OTP data mapping on sl	hadow sector
----------------------------------	--------------

The EUI-48 MAC address is available in all security levels and after a normal boot on the MISC registers GP_CTR00 and GP_CTR01.

For the SL1/SL1* the MAC reported in the registers is the ST preloaded one.

For the SL2/SL3/SL4 the user can specify its own EUI-48 MAC. If the OTP image carries the MAC flag set to 1, the bootloader copies this user address in the shadow sector and reports it in the MISC registers. If the MAC flag is set to 0, the bootloader reports in the MISC register the ST preloaded EUI-48 MAC address.

7.2 OTP public key

The public key to be used to encrypt the OTP image is:

```
04f667750fe1c03930f841853347efc8089e863e879c76269d50ebba9ad18aa74cd37145c3
39df84b3ecb4c6282f14b9c23a34880625e393ab0e539d637356ad75
```

8 References

- 1. STCOMET Smart meter and powerline communication system-on-chip datasheet
- 2. STCOMET smart meter and power line communication system-on-chip development kit AN4732 application note.



Appendix A Authentication details for "clear text" PE images

The STCOMET bootloader can load unencrypted PE images only in the SL1. These images are only authenticated to check the validity of the payload content.

The bootloader uses the AES-GCM mode with a 256-bit key in order to perform authentication on unencrypted PE images.

The key value used to check the authentication tag is:

Table 12. Default key for "clear text" PE images

Byte 0 (LSB)	Byte 1	Byte 2	Byte 3	Byte 4	Byte 5	Byte 6	Byte 7 (MSB)
0xFFFFFFFF	0xFFFFFFFF	0xFFFFFFFF	0xFFFFFFFF	0xFFFFFFFF	0xFFFFFFFF	0xFFFFFFFF	0xFFFFFFFF

Revision history

Date	Revision	Changes
20-Oct-2015	1	Initial release.

Table 13. Document revision history



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